

**United Nations Development Programme – INDIA**  
**CPAP 2011-2017**  
**Annual Work Plan 2011 (August - December)**



**Project Title:** Human development – towards bridging inequality

**Award ID:** 49815

**Project ID:** 79936

**Project Start and end date:** September - December 2017

**Corresponding CP Outcome(s):** Capacities of elected representatives and State and district officials in the UNDAF focus States/districts enhanced to perform their roles effectively in local governance

**Corresponding CP Output(s):** Institutions and mechanisms strengthened to enhance capacities of elected representatives and functionaries for human development oriented, inclusive planning, implementation, and improved accountability in local governance.

**Project RESULTS** description as outlined in approved project brief:

<b>Project outputs</b>	<b>Components</b>	<b>Indicator</b>	<b>Baseline</b>
National and State policies influenced to improve human development outcomes through research and advocacy.	<ul style="list-style-type: none"> <li>▪ State/regional/district level Human Development Reports focusing on social and spatial inequalities prepared in select States.</li> </ul>	Number of State/regional/district HDRs prepared by 2017 (target to be decided in consultation with stakeholders)	21 States' HDRs, 80 district HDRs
	<ul style="list-style-type: none"> <li>▪ Research based policy advocacy to influence national and State planning through analytical research studies identifying constraints in achieving inclusive growth focusing on social and geographical disparities.</li> </ul>	By 2017, at least ten research studies completed	
	<ul style="list-style-type: none"> <li>▪ Strengthening programme implementation through four to six high quality research studies focusing on select flagship schemes ascertaining bottlenecks in implementation and recommending improvements in service delivery mechanisms</li> </ul>	By 2017, analysis of implementation bottlenecks of at least 7 flagship schemes completed	
	<ul style="list-style-type: none"> <li>▪ Capacity development of                             <ul style="list-style-type: none"> <li>○ State officials and elected representatives for undertaking human</li> </ul> </li> </ul>	Appropriate indicators to be selected after stakeholder	

	<ul style="list-style-type: none"> <li>development oriented analysis</li> <li>○ Researchers through introduction of a curriculum on human development</li> <li>○ Civil society</li> <li>○ Private sector</li> <li>○ Media</li> </ul>	consultation	
	<ul style="list-style-type: none"> <li>▪ Institutionalising community monitoring tools</li> </ul>	By 2017, community monitoring tools used by governments	
	<ul style="list-style-type: none"> <li>▪ Analysis of fiscal policy including budget analysis, including from a gender lens, of partner State governments to achieve HD outcomes</li> </ul>	By 2017, annual HD analysis of budget institutionalised for select states	
	<ul style="list-style-type: none"> <li>▪ Strengthening statistical systems for better monitoring of human development outcomes</li> </ul>	By 2017, a system for generating annual HD data institutionalised and data made available	
	<ul style="list-style-type: none"> <li>▪ Human development advocacy</li> </ul>	HD awards institutionalised	
	<ul style="list-style-type: none"> <li>▪ Facilitating knowledge sharing, also within the framework of south-south collaboration</li> </ul>		

**Project and AWP 2011 Budget**

UNDP funds	Government funds	Donor funds*	Total
5,500,000	-	-	5,500,000 (For entire project duration)
			USD 195,545* (For 2011)

\*Mention donor between brackets

**Projects Budget and Expenditure Status**

Total Budget	Budget 2011	Budget 2012	Budget 2013
5,500,000	195,545*	Nil	

\* Exchange rate @ 44.40

Agreed by Planning Commission (Implementing Partner):

*Mandy*

Agreed by UNDP:

*Caitlin*

Office of Jt. Secy. (SP & Admn.)  
& Adviser (FR)

12 Oct. 2011

Planning Commission  
Govt. of India  
New Delhi

Caitlin Wiesen  
UNDP Country Director

<b>Project Targets for 2011</b> <i>Remember to ensure that targets are 'SMART'</i>	<b>PLANNED ACTIVITIES</b> <i>Remember to add M&amp;E activities and procurement activities, as applicable</i>	<b>Indicative month of completion</b>	<b>Responsible Party (where possible)</b>	<b>Budget for 2011 (INR)/(USD)</b>
<p>1. State/regional/district level Human Development Reports focusing on social and spatial inequalities prepared in select States.</p> <p>Targets:</p> <ul style="list-style-type: none"> <li>• Support to State HDRs in Bihar, Orissa</li> <li>• Initiation of one thematic HDR on Muslim Women</li> </ul>	<p>1.1 State HDRs</p> <ul style="list-style-type: none"> <li>• Discussions with State governments and agreement on future collaboration</li> <li>• Stakeholder consultation to identify relevant themes</li> <li>• Selection of organizations/technical experts for drafting DHDRs</li> </ul> <p>1.2 Regional HDRs</p> <ul style="list-style-type: none"> <li>• Identifying regions for initiating regional HDRs</li> <li>• Selection of resource agency</li> </ul> <p>1.3 District HDRs</p> <ul style="list-style-type: none"> <li>• Identification of districts for which preparation of DHDR will be supported under the project</li> </ul> <p>1.4 Thematic HDRs</p> <ul style="list-style-type: none"> <li>• Initiation of work on Muslim Women HDRs</li> <li>• Consultation</li> </ul>	<p>To be completed in 2012</p>	<p>TBI</p>	<p>State HDR – Rs. 7 lakhs US\$ 15,765 Thematic HDR - Rs. 20 lakhs US\$ 45,045 (71400 &amp; 72100)</p>
<p>2. Research based policy advocacy to influence national and State planning through analytical research studies identifying constraints in achieving inclusive growth focusing on social and geographical disparities.</p>				

<p>3. Strengthening programme implementation through four to six high quality research studies focusing on select flagship schemes ascertaining bottlenecks in implementation and recommending improvements in service delivery mechanisms</p>				
<p>4. Capacity development of</p> <ol style="list-style-type: none"> <li>State officials and elected representatives for undertaking human development oriented analysis</li> <li>Researchers through introduction of a curriculum on human development</li> <li>Civil society</li> <li>Private sector</li> <li>Media</li> </ol>				
<p>5. Institutionalising community monitoring tools</p>				
<p>6. Analysis of fiscal policy including budget analysis, including from a gender lens, of partner State governments to achieve HD outcomes</p>				
<p>7. Strengthening statistical systems for better monitoring of human development outcomes</p> <p>Target:</p> <ul style="list-style-type: none"> <li>Meeting between Planning Commission, MOSPI and UNDP to agree on future course of action</li> <li>Stakeholder consultation for proposing development goals for XII Five Year Plan and beyond</li> </ul>	<ul style="list-style-type: none"> <li>Organising a meeting between Planning Commission, MOSPI and UNDP</li> <li>Designing the consultation in collaboration with Planning Commission and MOSPI</li> </ul>	<p>December 2011</p>		<p>Rs. 2 lakhs US\$ 4,504 (100 &amp; 72400)</p>



8. Human development advocacy  Target: <ul style="list-style-type: none"> <li>• One stakeholder consultation for preparing future programme strategies</li> <li>• Announcing human development awards</li> <li>• Preparing communication material</li> </ul>	<ul style="list-style-type: none"> <li>• Designing a consultation with participation from government, universities, private sector</li> <li>• DHDR awards - Establishing Steering Committee for guiding HD awards</li> <li>• Call for nomination, review, award function</li> <li>• Printing of communication material for dissemination from earlier interventions</li> </ul>		DHDR Awards - UNDP	Consultation - Rs. 7 lakhs US\$ 15,765 (72100 & 72400)  DHDR Awards - Rs. 40 lakhs US\$ 90,090 (72100 & 72400)  Printing knowledge products - US\$ 5,000
9. Facilitating knowledge sharing, also within the framework of south-south collaboration				
<b>Total (All components)</b>				<b>Rs. 78,22,000</b> <b>US\$ 176,171</b>
<b>Project Management</b>				
<b>Project staff (Project Manager, 2 Project Assistant, 1 Research Assistant)</b>				\$ 11,375
<b>Travel</b>				\$ 2,500
<b>Miscellaneous</b>				\$500
<b>ISS</b>				\$2,500
<b>Communication</b>				\$2,500
<b>Audit</b>				
<b>AWP Grand Total in USD</b>				<b>195,545</b>

### Fund Flow Arrangement -

The Implementing Partner will account for funds received from UNDP as per the respective signed AWP. The UNDP country office may provide, at the request of the Planning Commission, specific support activities to the project, towards which UNDP will charge implementation support services (ISS) as per UNDP rules and regulations. The Implementing Partner(s) may enter into an agreement with UNDP for the provision of implementation support services (ISS) by UNDP in the form of procurement of goods and services. Cost recovery for ISS will be charged as per UNDP rules and regulations and the details will be outlined in the budgeted AWP for each year.

Planning Commission may request UNDP to proceed directly with payments to vendors/Responsible Parties on certification of completion of activities. Combined Delivery Reports reflecting expenditure under the project will be signed quarterly and annually by UNDP and Planning Commission. Unspent funds from the approved AWP will be reviewed in the early part of the last quarter of the calendar year and funds reallocated accordingly. The detailed UNDP financial guidelines will be provided on signature of the project.

